

The following is a complete listing of all claims in the application, with an indication of the status of each:

**Listing of claims:**

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- 1 1. (withdrawn) A method for making prioritized recommendations to a  
2 customer in the process of filling a market basket for purchase on an Internet  
3 commerce site, the method comprising the steps of:  
4 generating a matrix of training data;  
5 considering preferences based on associative and renewal buying  
6 history from the training data; and  
7 making a prioritized recommendation of items so as to maximize the  
8 likelihood that the customer will add to the market basket those items with  
9 higher priorities.
- 1 2. (withdrawn) The method of claim 1, wherein the two preferences are  
2 estimated separately from the training data and combined in proper  
3 proportions to obtain an overall preference for item not yet in the market  
4 basket.
- 1 3. (original) A method for making prioritized recommendations to a  
2 customer in the process of filling a market basket for purchase on an Internet  
3 commerce site, the method comprising the steps of:  
4 collecting statistics from training data;  
5 precomputing model parameters from the collected statistics; and  
6 recommending ordering for a given partial market basket based on the  
7 precomputed model parameters.
- 1 4. (original) The method of claim 3, wherein the step of collecting statistics  
2 comprises the steps of:

- 3 (a) for each item  $j$ , obtaining  $n_j$  a number of baskets with item  $j$  purchased;  
4 (b) for each item  $j$ , obtaining  $n_j'$  a number of baskets with  $j$  being a sole  
5 item purchased;  
6 (c) for each pair of items  $i$  and  $j$ , obtaining a number of market baskets  $n_{ji}$   
7 with items  $j$  and  $i$  purchased together; and  
8 (d) for each pair of items  $i$  and  $j$ , obtaining a number of market baskets  
9  $n_{ji}'$  with items  $i$  and  $j$  being the only two items purchased.

1 5. (original) The method of claim 4, wherein the step of precomputing model  
2 parameters comprises the steps of:

3 (a) computing  $\mathbf{P}(\text{renewal}) = \frac{\sum_k n_k'}{\sum_k n_k}$  ;

4 (b) for each item  $j$ , computing  $\mathbf{P}(j) = \frac{n_j}{\sum_k n_k}$  ;

5 (c) for each item  $j$ , computing  $\mathbf{P}(\text{renewal} | j) = \frac{n_j'}{n_j} + \mathbf{P}(\text{renewal}) \left( 1 - \frac{n_j'}{n_j} \right)$

6 ;

7 (d) for each item  $j$ , computing

8  $\mathbf{P}'(j | \text{renewal}) = \mathbf{P}(\text{renewal} | j) \times \frac{\mathbf{P}(j)}{\mathbf{P}(\text{renewal})}$  ;

9 (e) for each pair of items  $i$  and  $j$  with  $n_{ij} \neq 0$ , computing

10  $\mathbf{P}(j | i) = \frac{n_{ji}}{\sum_k n_{ki}}$  ;

11 (f) for each pair of items  $i$  and  $j$  with  $n_{ij} \neq 0$ , computing

12 
$$P(\text{renewal} \mid j, i) = \frac{n_{ji}'}{n_{ji}} + P(\text{renewal}) \left( 1 - \frac{n_{ji}'}{n_{ji}} \right); \text{ and}$$

13 (g) for each pair of items  $i$  and  $j$  with  $n_{ij} \neq 0$ , computing

14 
$$P'(j \mid \text{asso}, i) = P(j \mid i) \times \frac{(1 - P(\text{renewal} \mid j, i))}{(1 - P(\text{renewal} \mid i))}.$$

sp' 1 6. (original) The method of claim 5, wherein given a partial basket  $\mathbf{B} = \{i_1, i_2,$   
2  $\dots, i_k\}$  and  $\bar{\mathbf{B}}$  is a complementary set of items not in  $\mathbf{B}$ , the step of  
3 recommending ordering for a given partial market basket comprises the steps  
4 of:

5 (a) if  $\mathbf{B}$  is empty, sorting items in order of decreasing  $P(j \mid \text{renewal})$  and  
6 returning this as an item preference ordering;

7 (b) if  $\mathbf{B}$  is non-empty, then

8 (i) computing  $P(\text{renewal} \mid \mathbf{B}) = \min_{i_k \in \mathbf{B}} P(\text{renewal} \mid i_k);$

9 (ii) compute a normalization factor  $\sum_{k \in \bar{\mathbf{B}}} P'(k \mid \text{renewal});$

10 (iii) for each item  $j \in \bar{\mathbf{B}}$ , computing

11 
$$P(j \mid \text{renewal}) = \frac{P'(j \mid \text{renewal})}{\sum_{k \in \bar{\mathbf{B}}} P'(k \mid \text{renewal})};$$

12 (iv) computing a normalization factor  $\sum_{k \in \bar{\mathbf{B}}} P'(j \mid \text{asso}, \mathbf{B});$

13 (v) for each item  $j \in \bar{\mathbf{B}}$ , computing

14 
$$P'(j \mid \text{asso}, \mathbf{B}) = \max_{i_k \in \mathbf{B}} P(j \mid \text{asso}, i_k);$$

15 (vi) for each item  $j \in \bar{\mathbf{B}}$ , computing

16 
$$P(j | \text{asso}, \mathbf{B}) = \frac{P'(j | \text{asso}, \mathbf{B})}{\sum_{k \in \bar{\mathbf{B}}} P'(k | \text{asso}, \mathbf{B})};$$

17 (vii) for each item  $j \in \bar{\mathbf{B}}$ , computing

18 
$$P(j | \mathbf{B}) = P(j | \text{asso}, \mathbf{B})P(\text{asso} | \mathbf{B}) + P(j | \text{renewal}, \mathbf{B})P(\text{renewal} | \mathbf{B});$$

19 and

20 (viii) sorting items in order of decreasing  $P(j | \mathbf{B})$  and returning this  
21 as an item preference ordering.

1 7. (original) The method of claim 6, wherein the step of sorting comprises  
2 the step of using a final probability obtained for each item,  $P(j | \mathbf{B})$ , of a  
3 customer buying the item to maximize profit by recommendation.

1 8. (original) The method of claim 7, wherein the step of using a final  
2 probability of an item to maximize profit comprises the steps of:  
3 assigning a profit amount,  $\$j$ , to each item;  
4 computing  $P(j | \mathbf{B})\$j$  for each item; and  
5 ranking recommendations based on the computation of  $P(j | \mathbf{B})\$j$  for  
6 each item.

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1 9. (new) A method for making prioritized recommendations to a customer in  
2 the process of filling a market basket for purchase on an Internet commerce  
3 site, the method comprising the steps of:  
4 collecting statistics on preferences for associative and renewal buying  
5 from training data;  
6 precomputing model parameters from the collected statistics; and  
7 recommending ordering for a given partial market basket based on the  
8 precomputed model parameters.

1 10. (new) The method of claim 9, wherein the step of collecting statistics  
2 comprises the steps of:

- 3 (a) for each item  $j$ , obtaining  $n_j$  a number of baskets with item  $j$  purchased;  
4 (b) for each item  $j$ , obtaining  $n_j'$  a number of baskets with  $j$  being a sole  
5 item purchased;  
6 (c) for each pair of items  $i$  and  $j$ , obtaining a number of market baskets  $n_{ji}$   
7 with items  $j$  and  $i$  purchased together; and  
8 (d) for each pair of items  $i$  and  $j$ , obtaining a number of market baskets  
9  $n_{ji}'$  with items  $i$  and  $j$  being the only two items purchased.

1 11. (new) The method of claim 10, wherein the step of precomputing model  
2 parameters comprises the steps of:

3 (a) computing  $\mathbf{P}(\text{renewal}) = \frac{\sum_k n_k'}{\sum_k n_k}$  ;

4 (b) for each item  $j$ , computing  $\mathbf{P}(j) = \frac{n_j}{\sum_k n_k}$  ;

5 (c) for each item  $j$ , computing  $\mathbf{P}(\text{renewal} \mid j) = \frac{n_j'}{n_j} + \mathbf{P}(\text{renewal}) \left( 1 - \frac{n_j'}{n_j} \right)$

6 ;

7 (d) for each item  $j$ , computing

8  $\mathbf{P}'(j \mid \text{renewal}) = \mathbf{P}(\text{renewal} \mid j) \times \frac{\mathbf{P}(j)}{\mathbf{P}(\text{renewal})}$  ;

9 (e) for each pair of items  $i$  and  $j$  with  $n_{ij} \neq 0$ , computing

10  $\mathbf{P}(j \mid i) = \frac{n_{ji}}{\sum_k n_{ki}}$  ;

11 (f) for each pair of items  $i$  and  $j$  with  $n_{ij} \neq 0$ , computing

12 
$$P(\text{renewal} \mid j, i) = \frac{n_{ji}'}{n_{ji}} + P(\text{renewal}) \left( 1 - \frac{n_{ji}'}{n_{ji}} \right); \text{ and}$$

13 (g) for each pair of items  $i$  and  $j$  with  $n_{ij} \neq 0$ , computing

14 
$$P'(j \mid \text{asso}, i) = P(j \mid i) \times \frac{(1 - P(\text{renewal} \mid j, i))}{(1 - P(\text{renewal} \mid i))}.$$

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1 12. (new) The method of claim 11, wherein given a partial basket  $\mathbf{B} = \{i_1, i_2, \dots, i_k\}$  and  $\bar{\mathbf{B}}$  is a complementary set of items not in  $\mathbf{B}$ , the step of  
2 recommending ordering for a given partial market basket comprises the steps  
3 of:  
4

5 (a) if  $\mathbf{B}$  is empty, sorting items in order of decreasing  $P(j \mid \text{renewal})$  and  
6 returning this as an item preference ordering;

7 (b) if  $\mathbf{B}$  is non-empty, then

8 (i) computing  $P(\text{renewal} \mid \mathbf{B}) = \min_{i_k \in \mathbf{B}} P(\text{renewal} \mid i_k);$

9 (ii) compute a normalization factor  $\sum_{k \in \bar{\mathbf{B}}} P'(k \mid \text{renewal});$

10 (iii) for each item  $j \in \bar{\mathbf{B}}$ , computing

11 
$$P(j \mid \text{renewal}) = \frac{P'(j \mid \text{renewal})}{\sum_{k \in \bar{\mathbf{B}}} P'(k \mid \text{renewal})};$$

12 (iv) computing a normalization factor  $\sum_{k \in \bar{\mathbf{B}}} P'(j \mid \text{asso}, \mathbf{B});$

13 (v) for each item  $j \in \bar{\mathbf{B}}$ , computing

14 
$$P'(j \mid \text{asso}, \mathbf{B}) = \max_{i_k \in \mathbf{B}} P(j \mid \text{asso}, i_k);$$